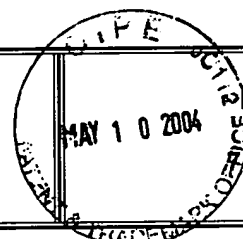


41

28284

AMENDMENT TRANSMITTAL LETTER (SMALL)

Applicant(s): **Mrinal Thakur**



Docket No.
742001-1020

Serial No.
09/811,002

Filing Date
March 16, 2001

Examiner
Delma Flores Ruiz

Confirmation No.
7295

Group Art Unit
2828

Invention: **Dipolar Organic Materials Producing Highly Efficient Laser-Like Emission**

Commissioner for Patents
Mail Stop
P.O. Box 1450
Alexandria VA 22313-1450

Transmitted herewith is a Response in the above-identified application.

The fee has been calculated and is transmitted as shown below

CLAIMS AS AMENDED

| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
|--|---|---|--|--|-------------------|
| TOTAL CLAIMS | 21 - | 21 = | 0 | X \$9.00 | \$0.00 |
| INDEP. CLAIMS | 2 - | 3 = | 0 | X \$43.00 | \$0.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$145.00 |
| EXTENSION FEE | 1 ST MONTH <input type="checkbox"/> 55.00 | 2 ND MONTH <input checked="" type="checkbox"/> 210.00 | 3 RD MONTH <input type="checkbox"/> 475.00 | 4 TH MONTH <input type="checkbox"/> 740.00 | \$210.00 |
| Other Fees: | | | | | \$ |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$210.00 |

- ☐ No additional fee is required.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
- ☒ A check in the amount of \$210.00 to cover the filing fee is enclosed. A duplicate copy of this page is enclosed.
- ☐ A Credit Card Payment Form PTO-2038 is attached in the amount of \$ _____
- ☒ The Director is hereby authorized to charge any deficiencies of the above fees or credit any overpayment to Deposit Account No. 20-0778.

Harold L. Marquis

Harold L. Marquis, Reg. No. 20,594

May 4 2004

/Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

MAY 10 2004

FREE TRANSMITTAL **for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revisions.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ **210.00**)

Complete If Known

| | |
|----------------------|--------------------------|
| Application Number | 09/811,002 |
| Filing Date | March 16, 2001 |
| First Named Inventor | Mrinal Thakur |
| Examiner Name | Delma Flores Ruiz |
| Group / Art Unit | 2828 |
| Attorney Docket No. | 742001-1020 |

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number

20-0778

Deposit Account Name

Thomas, Kayden, Horstemeyer Risley, L.L.P.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001 | 770 | 2001 | 385 | Utility Filing Fee | |
| 1002 | 340 | 2002 | 170 | Design Filing Fee | |
| 1003 | 530 | 2003 | 265 | Plant Filing Fee | |
| 1004 | 770 | 2004 | 385 | Reissue Filing Fee | |
| 1005 | 160 | 2005 | 80 | Provisional Filing Fee | |
| SUBTOTAL (1) (\$) | | | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | Extra Claims | Fee From Below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | - 20** = | X 9.00 = | |
| Independent Claims | - 3** = | X 43.00 = | |
| Multiple Dependent | | 145.00 = | |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description |
|-----------------------|-----------------------|-----------------------|-----------------------|---|
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claim, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) (\$) | | | | |

**or number previously paid, if greater; For Reissues, see above

FEES CALCULATION (continued)

| 3. ADDITIONAL FEES | | Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--------------|----------|--|---------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | | | Surcharge-late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | | | Surcharge-late provisional filing fee or cover sheet | |
| 1053 | 130 | 1053 | 130 | | | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | | | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | | | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | | | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | | | Extension for reply within first month | |
| 1252 | 420 | 2252 | 210 | | | Extension for reply within second month | 210.00 |
| 1253 | 950 | 2253 | 475 | | | Extension for reply within third month | |
| 1254 | 1,480 | 2254 | 740 | | | Extension for reply within fourth month | |
| 1255 | 2,010 | 2255 | 1,005 | | | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | | | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | | | Filing a brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | | | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | | | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | | | Petition to revive-unavoidable | |
| 1453 | 1,330 | 2453 | 665 | | | Petition to revive-unintentional | |
| 1501 | 1,330 | 2501 | 665 | | | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | | | Design issue fee | |
| 1503 | 640 | 2503 | 320 | | | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | | | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | | | Processing fee for provisional application | |
| 1806 | 180 | 1806 | 180 | | | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | | | Recording each patent assignment per property (times number of properties) | |
| 1809 | 770 | 2809 | 385 | | | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | | | For each add. invention to be examined (37 CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | | | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | | | Request for expedited exam. of a design application | |
| Other fee (specify) | | | | | | | |
| SUBTOTAL (3) (\$) | | | | | | | 210.00 |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

| | | | | | |
|-----------------------|--------------------------|------------------|--------------------|------------------|---------------------|
| Typed or Printed Name | Harold L. Marquis | Registration No. | 20,594 | Telephone Number | 770-933-9500 |
| Signature | <i>Harold L. Marquis</i> | Date | <i>May 4, 2004</i> | | |

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 USC 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



CERTIFICATE OF MAILING

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

on

May 5, 2004

Sharon H. Briscoe

Sharon H. Briscoe

In Re Application of:

Mrinal Thakur

Serial No.: **09/811,002**

Filed: **March 16, 2001**

Confirmation No.: **7295**

Group Art Unit: **2828**

Examiner: **Delma R. Flores Ruiz**

Docket No. **742001-1020**

For: **DIPOLAR ORGANIC MATERIALS PRODUCING HIGHLY EFFICIENT LASER-LIKE EMISSION**

The following is a list of documents enclosed:

Return Postcard
Amendment Transmittal For (1 Page)
Response to Office Action
Fee Transmittal
Extension of Time (2 months)
Check in the amount of \$210.00

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit Account No. 20-0778.